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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: March 30, 2006 Name: Tadashi Horie, Registration No. 40,437 Signature: Tadashi Horie

BRINKS  
HOFER  
GILSON  
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Masayuki Tsuda et al.

Appln. No.: 10/810,503

Filed: March 26, 2004

For: TERMINAL DEVICE AND PROGRAM

Attorney Docket No: 9683/177

Examiner: To Be Assigned

Art Unit: 2122

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Transmittal Letter (in duplicate); Second Supplemental Information Disclosure Statement; PTO 1449 along with seven (7) cited reference C4-10; Copy of Search Report.
- ☒ Return Receipt Postcard

Fee calculation:

- ☐ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

|   |                                  |       |                                 |               | Small Entity |           |    | Not a Small Entity |           |
|---|----------------------------------|-------|---------------------------------|---------------|--------------|-----------|----|--------------------|-----------|
|   | Claims Remaining After Amendment |       | Highest No. Previously Paid For | Present Extra | Rate         | Add'l Fee | or | Rate               | Add'l Fee |
| Total                                     |                                  | Minus |                                 |               | x \$25=      |           |    | x \$50=            |           |
| Indep.                                    |                                  | Minus |                                 |               | x 100=       |           |    | x \$200=           |           |
| First Presentation of Multiple Dep. Claim |                                  |       |                                 |               | +\$180=      |           |    | +\$360=            |           |
|   |                                  |       |                                 |               | Total        | \$        |    | Total              | \$        |

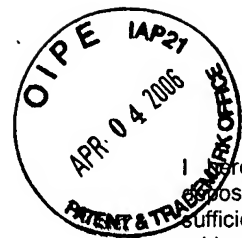
Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

3/30/2006  
Date

Tadashi Horie  
Tadashi Horie (Reg. No. 40,437)



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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
on March 30, 2006

Date of Deposit

Tadashi Horie, Registration No. 40,437

Name of applicant, assignee or  
Registered Representative

*Tadashi Horie*

Signature

3/30/2006

Date of Signature

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Masayuki Tsuda et al.

Appln. No.: 10/810,503

Filed: March 26, 2004

For: TERMINAL DEVICE AND  
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Attorney Docket No: 9683-177

Examiner: To Be Assigned

Art Unit: 2122

### SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant hereby cites the following references:

### US PATENTS

| No.            | Date of Publication | Patentee/Applicant/Assignee |
|----------------|---------------------|-----------------------------|
| US 6,092,194   | July 18, 2000       | Touboul                     |
| US 6,430,549   | August 6, 2002      | Gershfield et al.           |
| US 2002/174359 | November 21, 2002   | Haltmeyer                   |

**FOREIGN PATENT DOCUMENTS**

| No.           | Date of Publication | Patentee/Applicant/Assignee |
|---------------|---------------------|-----------------------------|
| EP 0 547 741  | June 23, 1993       |                             |
| DE 197 17 900 | October 30, 1997    |                             |
| GB 2 312 767  | November 11, 1997   |                             |
| WO 02/41150   | May 23, 2002        |                             |

**OTHER ART**

|   |
|---|
| Rechenberg P. et al., "Informatik-Handbuch, Passage" 2002   |
| Sandhu R. S. et al, "Access Control: Principles and Practice", IEEE Communications Magazine, IEEE Service Center, New York, NY, US, vol. 32, no. 9, September 1, 1994 |
| Oaks S. "Java Security, The Default Sandbox", Java Security, May 2001   |

Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). References C1-5 and 7-10 were cited in a Search Report issued for the counterpart EP application. A copy of the Search Report is also attached to this Statement. Reference C5 is in Germany. Applicant is submitting reference C6, which is the GB counterpart of reference C5.

Applicant respectfully requests the Examiner's consideration of the above references and entry thereof into the record of this application.

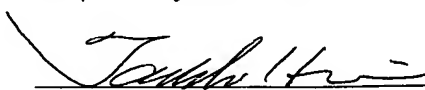
By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

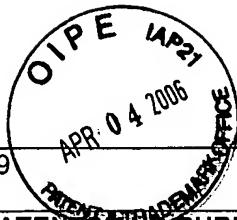
Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

March 30, 2006

Date

  
Tadashi Horie, Registration No. 40,437



|   |                               |                                     |
|---|-------------------------------|-------------------------------------|
| FORM PTO-1449   | SERIAL NO.<br>10/810,503      | CASE NO.<br>9683/177                |
| LIST OF PATENTS AND PUBLICATIONS FOR<br>APPLICANT'S INFORMATION DISCLOSURE<br>STATEMENT | FILING DATE<br>March 26, 2004 | GROUP ART UNIT<br>2122              |
| (use several sheets if necessary)   |                               | APPLICANT(S): Masayuki Tsuda et al. |

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER<br><small>Number-Kind Code (if known)</small> | DATE       | NAME              | CLASS/<br>SUBCLASS | FILING<br>DATE |
|---------------------|----|--|------------|-------------------|--------------------|----------------|
|                     | C1 | US 6,092,194   | 07/18/2000 | Touboul           |                    |                |
|                     | C2 | US 6,430,549   | 08/06/2002 | Gershfield et al. |                    |                |
|                     | C3 | US 2002/174359   | 11/21/2002 | Haltmeyer         |                    |                |

FOREIGN PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER<br><small>Number-Kind Code (if known)</small> | DATE       | COUNTRY | CLASS/<br>SUBCLASS | TRANSLATION<br>YES OR NO |
|---------------------|----|--|------------|---------|--------------------|--------------------------|
|                     | C4 | EP 0 547 741   | 06/23/1993 | EP      |                    | Yes                      |
|                     | C5 | DE 197 17 900  | 10/30/1997 | DE      |                    | GB 2 312 767             |
|                     | C6 | GB 2 312 767   | 11/11/1997 |         |                    | Yes                      |
|                     | C7 | WO 02/41150  | 05/23/2002 | WO      |                    | Yes                      |

| EXAMINER<br>INITIAL | OTHER ART – NON PATENT LITERATURE DOCUMENTS<br><small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)</small> |   |
|---------------------|---|---|
|                     | A8  | Rechenberg P. et al., "Informatik-Handbuch, Passage" 2002   |
|                     | A9  | Sandhu R. S. et al, "Access Control: Principles and Practice", IEEE Communications Magazine, IEEE Service Center, New York, NY, US, vol. 32, no. 9, September 1, 1994 |
|                     | A10   | Oaks S. "Java Security, The Default Sandbox", Java Security, May 2001   |

|          |                 |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.